COMMISSIONER OF SECURITIES
STATE OF GEORGIA

ORDER GRANTING RELIEF FROM FINGERPRINT FILING REQUIREMENTS

The current outbreak of coronavirus disease 2019 (COVID-19) was first reported on December 31, 2019 in Wuhan, China. The disease has led to disruptions to everyday life including the imposition of quarantines around the world, which may limit individuals' access to facilities, personnel, and third party service providers. The Commissioner of Securities ("Commissioner") recognizes that, in these circumstances, COVID-19 poses potential challenges to registrants in meeting certain requirements under the Georgia Uniform Securities Act of 2008 ("Act") and rules promulgated thereunder.

Pursuant to O.C.G.A. § 10-5-70 *et seq.*, the Commissioner may, by rule, regulation, or order, exempt, either conditionally or unconditionally, any person, security or transaction, or any class or classes of persons, securities, or transactions, from any provision or provisions of the Act or any rule or regulation thereunder, to the extent that such exemption is necessary or appropriate in the public interest, and is consistent with the protection of investors. Specifically, O.C.G.A. § 10-5-35(f) of the Act provides that an order issued under this chapter may waive, in whole or in part, such requirements in connection with registration as are in the public interest and for the protection of investors. To ease the burden on registrants, the Commissioner finds that the following Order is in the public interest.

Accordingly, it is ORDERED, pursuant to O.C.G.A. § 10-5-70, *et. seq.* of the Act:

I. TIME PERIOD FOR THE RELIEF

The relief specified in this Order is limited to filing or delivery obligations, as applicable, for which the original due date was on or is after March 1, 2020 but on or prior to April 30, 2020. The Commissioner intends to continue to monitor the current situation. The time period for any or all of the relief may, if necessary, be extended with any additional conditions that are deemed appropriate, and the Commissioner may issue other relief as necessary or appropriate.

II. FINGERPRINT FILING REQUIREMENTS FOR REGISTRANTS

The disruptions resulting from COVID-19 that are mentioned above could hamper the efforts of registrants to timely meet their fingerprint filing requirement deadlines.

For the time period specified in Section I, a registrant is exempt from the requirements under O.C.G.A. § 10-5-35(b) of the Act to be fingerprinted and to file said fingerprints to the Commissioner as part of an application to register with the Commissioner where the conditions below are satisfied.

Conditions:

(a) The registrant is unable to meet a filing deadline or delivery requirement due to circumstances related to current or potential effects of COVID-19;

(b) The registrant relying on this Order with respect to the requirement to file fingerprints with the Commissioner promptly provides the Commissioner via email at registrations@sos.ga.gov the following information:

(1) That it is relying on this Order;

(2) A brief description of the reasons why it could not file or deliver fingerprints on a timely basis; and

(3) The estimated date by which it expects to file or deliver the fingerprints.

(c) The registrant files fingerprints required by O.C.G.A. § 10-5-35(b) of the Act as soon as practicable, but not later than June 30, 2020.

This Order shall be effective as of March 16, 2020 or until modified, vacated, or superseded.

BRAD RAFFENSPERGER
COMMISSIONER OF SECURITIES
STATE OF GEORGIA

BY: _____
C. Ryan Germany
Assistant Commissioner of Securities