

STATE LICENSING BOARD FOR RESIDENTIAL AND GENERAL CONTRACTORS General Contractor Division

237 Coliseum Drive, Macon, GA 31217 404-424-9966 - www.sos.ga.gov

PRIOR APPROVAL APPLICATION - GENERAL CONTRACTOR

••• INSTRUCTIONS AND GENERAL INFORMATION •••

Incomplete applications are subject to be administratively withdrawn if any deficiencies are not submitted within 60 days of the deficiency notice.

LICENSES REQUIRED - Persons who contract for any general or residential contracting business are required by law to be licensed in this state. See O.C.G.A § 43-41-2

SECTION 1: PERSONAL INFORMATION - Complete all information. Include your preferred email address for communication with the Board. You are encouraged to add "donotreply-plb@sos.ga.gov" to your email contact list, as this is the email account most often used by staff to send notifications to you.

SECTION 2: PRIOR APPROVAL ELIGIBILITY - Prior Approval means you currently hold or previously held the same type of license or category for which you are now applying. Here's an example:

GC Individual - you can Prior Approve for a GCQA License.

GCQA License - you can Prior Approve for GC Individual License or an additional GCQA License. (unlimited to unlimited) GCLT-QA - you can Prior Approve for a GCLT-Individual or an additional GCLT-QA license. (limited tier to limited tier) GCLT Individual - you can Prior Approve for a GCLT-QA license (limited tier to limited tier)

You can only have ONE individual license in a category in your name. You cannot Prior Approve from a General license to a Residential license, and vice versa. You cannot Prior Approve from a limited tier license to an unlimited license.

SECTION 3: INDIVIDUAL VS QUALIFYING AGENT

INDIVIDUAL LICENSE - Refer to Rule 553-4 for more details.

- An individual who will work in his/her name in the General Contractor profession and those who will do business as an individual in a trade name (DBA) as a sole proprietorship should apply as an individual. Individual licensees cannot work on behalf of a company that is registered with the Corporations Division (LLC, Inc.) Trade names / DBA sole proprietorships are not corporations.
- Finances and Insurance will be in YOUR NAME ONLY (not in a company name).

QUALIFYING AGENT LICENSE -

- Qualifying Agents can ONLY work on behalf of a business organization that is registered with the Corporations
 Division (LLC, Inc.) They are the responsible party for the business organization.
- Finances and Insurance will be in the COMPANY NAME ONLY (not in the individual's name).
- A business organization must have at least one licensed QA to receive a license authorizing the business to engage in general contracting. Finances and Insurance will be in the COMPANY NAME ONLY (not in the individual's name).
- You may appoint yourself as the QA ONLY IF you are the ONLY authorized agent of the business organization who possesses binding authority.

SECTION 4: CURRENT LICENSURE - You are required to list all business organizations for which you are currently licensed as a residential or general qualifying agent and provide the requested information regarding your affiliation.

SECTION 5: AFFILIATIONS - You must submit names of all persons, entities, and business organizations with which you will be affiliated as a licensed general or residential contractor. "Affiliated with" means engaged by way of employment, ownership, serving as an owner or director, partnership, or membership, or by serving as a qualifying agent.

SECTION 6: PERSONAL HISTORY - Answer all of the questions. Submit additional documentation as requested in the application.

SECTION 7: FINANCIAL RESPONSIBILITY and INSURANCE - Answer all questions. Submit additional documentation as requested in the application.

You must obtain general liability insurance in a minimum amount of \$500,000 per occurrence and *submit a signed, current certificate of insurance on an ACORD Form (one page) with your application*. Your application will be considered incomplete until received. Binders, information pages, policies, and declaration pages are not acceptable.

<u>Qualifying Agents</u> - the business organization must be shown as the insured on the certificate. <u>Individual Applicants</u> - your name must show as the insured on the certificate (not a company name).

The certificate must list the State Licensing Board for Residential and General Contractors, 237 Coliseum Drive, Macon, GA 31217 as the certificate holder. If you are required by state law to carry workers' compensation insurance, a certificate of insurance showing workers' compensation coverage must also be submitted.

SECTION 8: APPLICANT AFFIDAVIT - Georgia law requires that the Board verify lawful presence in the U.S. of any natural person 18 years or older who has applied for a state benefit, such as a license, certificate, or registration. See O.C.G.A. §50-36-1.

US Citizens are required to submit a copy of a **Secure and Verifiable Document (SVD)** with this application, such as a Driver's License, Passport, or other documents. **Non-US Citizens** are required to submit a copy of current immigration document(s) which includes either an Alien number or I-94 number and SEVIS number if needed. *See O.C.G.A. § 50-36-2.*

VETERANS AND MILITARY SERVICE MEMBERS - A transitioning service member of the military is on active duty status, or on separation leave, who is within 24 months of retiring or 12 months of separation.

Additional information for Veterans, Military Service Members, Transitioning Service Members, and Military Spouses is available **HERE**.

FULLY COMPLETE THE APPLICATION AND SUBMIT DOCUMENTS - The Board cannot process incomplete applications. If any item is missing, incomplete, or incorrect, your application cannot be reviewed by the Board. Please review the application before you submit it to ensure that you've answered each section and that you've included all required documentation.

Incomplete applications will not be presented to the Board for review. If a deficiency notice is sent to you, you will have 60 days to satisfy any deficiencies. Failure to do so within 60 days of the deficiency notification will result in the administrative withdrawal of your application, and you will have to begin the application process again to be considered for licensure.

Please use this checklist to ensure that you submit a COMPLETE application. Do not submit this checklist or instruction pages with your application.
☐ Become familiar with the Board laws and rules before completing the application. You are responsible for knowing the Board laws and rules for your profession.
☐ Complete each question and each section of the application. Sign the application and have your signature notarized. All items on the application should be typed or printed.
☐ For QA Applicants only: - Submit a Letter of Authority from the Georgia Corporations Division for the business organization.
- Certificate of insurance on one-page ACORD Form.
 The business organization must be shown as the insured.
 Current dates of coverage and signed by the insurance agent/representative.
 General liability insurance in a minimum amount of \$500,000 per occurrence.
 The State Licensing Board for Residential and General Contractors, 237 Coliseum Drive, Macon, GA 31217 must be listed as the certificate holder.
☐ For Individual Applicants only:
- Certificate of insurance on one-page ACORD Form.
Your name (individual) must be shown as the insured.
 Current dates of coverage and signed by the insurance agent/representative.
 General liability insurance in a minimum amount of \$500,000 per occurrence.
 The State Licensing Board for Residential and General Contractors, 237 Coliseum Drive, Macon, GA 21217 must be listed as the cortificate holder.
Macon, GA 31217 must be listed as the certificate holder.
☐ For all applicants:
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- - Secure and Verifiable Document (SVD)
 - \$210 payment (\$200 application fee + \$10 processing fee) by check or money order payable to State Licensing Board for Residential and General Contractors. Checks returned for insufficient funds will be assessed a service charge pursuant to O.C.G.A. § 16-9-20. Fees are non-refundable.
 - Mail the completed application and supporting documents in a 9 X 12 envelope, unstapled and unfolded.
- ** KEEP A COPY OF YOUR COMPLETED APPLICATION AND SUPPORTING DOCUMENTS FOR YOUR RECORDS All original materials will be retained by our office and will not be returned to you.

NOTE: After reviewing the **Board laws, rules**, and the information provided above, if you have further questions, please contact the Board office at 404-424-9966.



STATE LICENSING BOARD FOR RESIDENTIAL AND GENERAL CONTRACTORS

General Contractors Division 237 Coliseum Drive, Macon, GA 31217-3858 404-424-9966 - www.sos.ga.gov

Date Entered	
Receipt #	
Submitted \$	
Date Issued	

; 9B9F5@7CBHF57HCF Application for License by Prior Approval				Submitte	Submitted \$ Date Issued				
				Date Issue					
Application Fee \$210 Do not send cash. Che Applications are subject → → This application i	(\$200 applic ck, Money Ord ct to be admini	er, Certifie stratively v	d or Cashie vithdrawn i	r's Check f any defi	accepted. ciencies are not sa	itisfied withi	n 60 days o	-	=
I am applying forGC Individual (just you) (check one) GC Qualifying Agent (with a company)					GC Limited Tier Individual (just you)GC Limited Tier Qualifying Agent (with a company)				
SECTION 1: PERSO	NAL INFORM	1ATION							
 Legal Name to Appear on License Name as shown on e 	FIRST, N	ranscripts,		umentatio	on provided to the	Board inclu	ding maide	n name (if	different):
FIRST, MIDDLE, LAST, SUFFIX/	MAIDEN								
3. Social Security*: *This information is authorized to O.C.G.A. § 19-11-1 et seq. and O.			-			M M [D D \	/ Y Y	Y
4. Physical Address: (PO BOX NOT ACCEPTABL	E)	NUMBER AND	O STREET				APT	OR SUITE#	
	CITY				STATE			ZIP	
5. Mailing Address: (if different) NUMBER AND STREET OR PO BOX					APT (OR SUITE#			
	CITY				STATE			ZIP	
6. Daytime Phone#:	-		-		Business or Cell Phone#:		-	-	
7. Email Address:									
SECTION 2: PRIOR	APPROVAL I	LIGIBILIT	ΓΥ						
What is your status? I currently hold GC I previously held G					applying for a GC				

What is your status:	
I currently hold GC unlimited license #	and am applying for a GC Individual or a GC QA license.
I previously held GC unlimited license #	and am applying for a GC Individual or GC QA license.
I currently hold a GC Limited Tier license #	and am applying for a GCLT Individual or GCLT QA license.
I previously held a GC Limited Tier license #	and am applying for a GCLT Individual or GCLT QA license

You can only Prior Approve GC to GC in the same category of license. You cannot Prior Approve GC to Residential, and vice versa.

SECTION 3: QUALIFYING AGENT ⇒ (For QA only - individual	l applicants can skip this section.)
Applicant Name:	
1. Name of Business Organization (exactly as registered with the Geo	orgia Corporations Division):
2. Type: ☐ LLC ☐ LLP/LP ☐ Corporation (state of incorporation)	poration):
☐ Partnership* ☐ Joint Venture* ☐ Other*:	:
*If the business organization is not an LLC, LLP/LP, or Corporation pl the existence of the business organization	please submit official company formulation documentation proving
3. Physical Business Address:	
(PO BOX NOT ACCEPTABLE) NUMBER AND STREET	SUITE#
CITY	STATE ZIP
4. Federal ID # 5. Busine	ess Phone #
6. Business Organization Email Address:	
QUALIFYING AGENT	C AFFIDAVIT
The applicant may appoint himself or herself ONLY IF the applicant is the	
possesses binding authority.	Doubles of Officer
,, certify that I am theO Printed Name of Owner/Partner/Officer	owner or Partner or Officer
for the business organization identified above, and possess bindir	ng authority for the business organization and do
hereby appoint the applicant to act as a qualifying agent on the b examination (unless exempted), as required for a Georgia contract business organization by: Ownership (ctor's license. The applicant is affiliated with the
I further attest that the individual applicant has final approval aut business organization or entity within the State of Georgia and th authority on all construction matters, including contracts, contract construction matters, for each construction job for which his or h	nat the individual applicant has final approval ct performance, and financial affairs related to such
I understand that should the qualifying agent leave the business of affiliated with the business organization, the business organization the termination of the relationship and shall have 120 days from the temploy another qualifying agent and submit an application for lice	on shall promptly notify the appropriate division of the termination of the qualifying agent's affiliation to
Signature of Owner/Partner/Officer	O.C.G.A. § 45-17-6 requires legible seals for notarized documents. If an embossed seal is used a foil overlay or shading should be applied to make the seal, state, title, name, and county legible when digitized.
	NOTARY SEAL
Title	
SUBSCRIBED AND SWORN BEFORE ME ON THIS THE	
, 20	
NOTARY PUBLIC SIGNATURE My Commission Expires:	

SECTION 4: CURRENT LICENSURE					
Applicant Name:				_	
List each business organization, if any, for whi	ch you are cur	rently licensed c	or were previous	sly licensed as a	residential or
general contractor, and provide the requested	d information r	egarding your a	ffiliation. (Make	additional copi	ies of this
page as needed.)		0 0,	,	·	
,	T	T		1 - 1	
Name of Business Organization/Company	Company License #	Agent License#	Employee	Owner (ownership %)	Position/ Job Title
1.			. ,		
Describe your role in the business organization an	d the capacity in	which you serve			
□ Lam no longer affiliated with the above business	s organization o	ffactive		and have a	submitted the
☐ I am no longer affiliated with the above busines Disaffiliation Form as required by the Board.	is organization e	mective	/ mm/dd/year	and have s	submitted the
Disamination Form as required by the board.					
	Company	Qualifying		Owner	Position/
Name of Business Organization	License #	Agent License#	Employee	(ownership %)	Job Title
2.					
Describe your role in the business organization and	d the capacity in	which you serve.			
☐ I am no longer affiliated with the above busines	s organization e	ffective		and have s	ubmitted the
Disaffiliation Form as required by the Board. mm/dd/year					
Name of Business Organization	Company	Qualifying		Owner	Position/
_	License #	Agent License#	Employee	(ownership %)	Job Title
3.					
Describe your role in the business organization an	d the capacity ir	which you serve.			
\square I am no longer affiliated with the above busines	s organization e	ffective	//	and have s	submitted the
Disaffiliation Form as required by the Board. mm/dd/year					

SE	CTION 5: AFFILIATIONS			
Applicant Name:				
1.	For Individual Applicants only: Will you be conducting business as a sole proprietorship using a trade name? YESNO			
2.	For QA Applicants only: What is your Position/Job Title with the business for which you have applied? Describe your role in the business:			
3.	If you hold any professional certifications, please list them below. If not, please write "none" on the line.			
S	ECTION 6: PERSONAL HISTORY			
	Have you ever been arrested, charged, convicted, sentenced, entered a plea of guilty, or nolo contendere, or been given First Offender status for any felony, misdemeanor, DUI, DWI, or any offense?YESNO If YES , you must submit the following: a) a letter of explanation for each offense. b) a certified copy of court documents showing arrest, dismissal, or final court disposition and conviction/ sentencing documents with a judge's signature. c) if probation or parole, a statement (on official letterhead) from your probation/parole officer regarding your current status or completion of any probation/parole.			

SECTION 7: FINANCIAL RESPONSIBILITY Applicant Name: Financial audits, reviews, or compilations are no longer required to be submitted with the application. However, the Board reserves the right to request such documentation should there be a reason to make such a request. QAs: ANSWER THIS SIDE (with a company) INDIVIDUALS: ANSWER THIS SIDE (just you) 1. Unlimited Individual applicants: I affirm that YES____ 1. Unlimited QA applicants: I affirm that this YES I have a minimum net worth of \$150,000. _ NO company has a minimum net worth of NO If **NO**, submit a letter of explanation. \$150,000. If NO, submit a letter of explanation. OR OR Limited Tier Individual applicants: I affirm YES_ YES Limited Tier QA applicants: I affirm that this that I have a minimum net worth of company has a minimum net worth of NO NO \$25,000. If NO, submit a letter of \$25,000. If NO, submit a letter of explanation. explanation. 2. Has the business paid all state and federal YES 2. Have you paid all state and federal taxes, income taxes, payroll withholding taxes, and YES payroll withholding taxes, and NO unemployment taxes as required by law? unemployment taxes as required by law? If NO If NO, submit a letter of explanation and any NO, submit a letter of explanation and any supporting documentation. supporting documentation. 3. Has the business paid all judgments and taxes YES 3. Have you paid all judgments, taxes, student as required by law? If NO, submit a letter of YES explanation and any supporting documentation. NO_ loans, or child support payments as required by law? **If NO**, submit a letter of explanation NO 4. During the last 10 years, have you personally, as and any supporting documentation. an individual, or has any business with which 4. During the last 10 years, have you personally, YES you have been involved filed for bankruptcy, YES been subjected to an involuntary petition for as an individual, been subjected to an NO NO bankruptcy, been adjudged bankrupt, or sought involuntary petition for bankruptcy, been protection under the bankruptcy laws? If YES, adjudged bankrupt, or sought protection submit a letter of explanation, discharge under the bankruptcy laws? If YES, submit a documents, and schedules A, B, D, and F. letter of explanation, discharge documents, and schedules A, B, D, and F. 5. Submit a current one-page ACORD Certificate of Insurance (COI) showing the company as the insurance 5. Submit a current one-page ACORD Certificate of (not you individually) and documenting that the company Insurance (COI) showing YOU as the insured (not carries general liability insurance in the a company) and documenting that you carry amount of \$500,000 per occurrence. The general liability insurance in the amount of Certificate Holder must be the State Licensing \$500,000 per occurrence. The Certificate Holder Board for Residential and General Contractors, must be the State Licensing Board for Residential 237 Coliseum Dr., Macon, GA 31217 and General Contractors, 237 Coliseum Dr., Macon, GA 31217. 6. Does the business currently carry workers compensation insurance as required by state YES 6. Do you currently carry workers compensation YES law? In Georgia, this is required if you have 3 or NO insurance as required by state law? In more employees. If YES, include this NO___ Georgia, this is required if you have 3 or more information on your Certificate of Insurance. employees. If YES, include this information on your Certificate of Insurance. 7 **CORPORATE AUTHORITY**- Submit a copy of your Certificate* from the Corporations Division as proof that the business organization for which you are

applying as a qualifying agent is actively authorized and certified to do business in Georgia. Without it, your application will be considered incomplete. *This certification is known as a Certificate of Incorporation

or Organization or Authorization.

SECTION 8: APPLICANT AFFIDAVIT	
Applicant Name:	
I hereby swear and affirm that all information provided in knowledge and belief. I further swear and affirm that I hare gulations of the Board for which I am applying for licent	ive read and understand the current state laws and rules and
	a professional license, as referenced in O.C.G.A. § 50-36-1, on, the undersigned applicant also verifies one of the following neck one):
I am a United States citizen. Please submit a copy of your current Secure and or document as indicated on the Board's websit	d Verifiable Document(s) such as driver's license, passport, ee.
the Federal Immigration and Nationality Act with Security or another federal immigration agency. I	ted States or I am a qualified alien or non-immigrant under an alien number issued by the Department of Homeland Please submit a copy of your current immigration umber or your I-94 number and, if needed, SEVIS number.
The undersigned applicant also hereby verifies that he or secure and verifiable document, as required by O.C.G.A.	she is 18 years of age or older and has provided at least one § 50-36-1(e)(1), with this affidavit.
false, fictitious, or fraudulent statement or representation	stand that any person who knowingly and willfully makes a n in an affidavit shall be guilty of a violation of O.C.G.A. § 16-10- al statute. I also understand that any failure to make full and he Board for which I am applying for licensure.
_	Printed Name of Applicant
_	Signature of Applicant
SUBSCRIBED AND SWORN BEFORE ME ON THIS THE	O.C.G.A. § 45-17-6 requires legible seals for notarized documents. If an embossed seal is used a foil overlay or shading should be applied to make the seal, state, title, name, and county legible when digitized. NOTARY SEAL
NOTARY PUBLIC SIGNATURE My Commission Expires:	