



GEORGIA SECRETARY OF STATE
Cemetery and Funeral Services

ANNUAL REPORT OF PRENEED DEALER
ESCROW ACCOUNT
FOR YEAR ENDING DECEMBER 31, 20____

This report is mandated by OCGA 10-14-12(g).

For guidance on completing report, please read instructions accompanying this form.

PART I - GENERAL			
Name of Dealer		Registration Number	
Phone Number			
Mailing Address		City	State Zip Code
Name of Contact Person		Contact Phone Number	
Contact Person Email Address			
PART II - PRENEED ESCROW ACCOUNT			
Name of Escrow Agent		Phone Number	
Address		City	State Zip Code
DEPOSITORY INFORMATION: Attach additional pages, as necessary, with complete information for each depository.			
Name of depository			
Address		City	State Zip Code
Account Name		Account Number	
Name of contact person at depository		Telephone Number	Email Address
Name of depository			
Address		City	State Zip Code
Account Name		Account Number	
Name of contact person at depository		Telephone Number	Email Address
Name of depository			
Address		City	State Zip Code
Account Name		Account Number	
Name of contact person at depository		Telephone Number	Email Address

ANNUAL REPORT OF PRENEED DEALER – Page 2

DEPOSITORY ACTIVITY (IF MORE THAN ONE ACCOUNT, LIST FIGURES AS TOTALS OF ALL ACCOUNTS)		
1.	Total funds on deposit with Escrow Agent at beginning of year	\$
2.	ADD: Total deposits made for the yearly activity	\$
3.	ADD: Any other deposits made – Attach explanation	\$
4.	ADD OR SUBTRACT: Income, increases/decreases to fund – Attach explanation	\$
5.	Subtotal: Lines 1 through 4	\$
6.	SUBTRACT: Escrow fees for the year	\$
7.	SUBTRACT: Depository fees for the year	\$
8.	SUBTRACT: Withdrawals	\$
9.	Total funds on deposit in Escrow Account at end of year (Lines 5 through 8) <i>Attach copy of year-end statement from Depository.</i>	\$
ANNUAL PRENEED ESCROW ACCOUNT LIABILITY		
10.	Cumulative amount due to Escrow Account at beginning of year	\$
11.	ADD: Amount of deposits due to account for year's activities for sales and services. <i>Attach copy of sales.</i>	\$
12.	SUBTRACT: Amount eligible for withdrawal from Escrow Account for yearly activities. List only amount actually due to Escrow. <i>Attach Cancellations & Deliveries Report.</i>	\$
13.	Cumulative amount due to Escrow Account at end of year (Do not include interest earned).	\$

PART III - CERTIFICATION	
The undersigned hereby verifies that he/she has executed this form on behalf of, and with the authority of, the registrant. The undersigned and registrant represent that the information and statements contained herein, including the supporting documents attached hereto, and other information filed herewith, all of which are made a part hereof, are current, true, and complete. I further certify that all required deposits have been made to the preneed escrow account in compliance with the Georgia Cemetery and Funeral Services Act of 2000.	
Signature:	Print Name:
Title:	Date:



**GEORGIA SECRETARY OF STATE
Cemetery and Funeral Services**

ANNUAL REPORT OF PRENEED DEALER ESCROW ACCOUNT

INSTRUCTIONS

PART I – GENERAL

- Complete general information about the preneed dealer.

PART II – GENERAL PRENEED ESCROW ACCOUNT AND DEPOSITORY INFORMATION

- Complete depository information to include full and complete account name as recorded with the financial institution.

Depository Activity

- Line 1:** Fill in the amount (market value) of funds on deposit at the beginning (January 1) of the current reporting year. This amount should match what was reported as the amount (market value) of funds on deposit at the **end** (December 31) of the **previous** reporting year.
- Line 2:** Add total deposits made for the yearly activity. These deposits are based on deposit requirements according to O.C.G.A. §10-14-7(a)(2), 7(a)(3) and 7(a)(4).
- Line 3:** Add any other deposits made during the year (amounts deposited to this account other than those accounted for in line 2). This includes amounts deposited to cover shortfalls. Attach explanation.
- Line 4:** Add or subtract any other increases or decreases to fund. This includes all interest or other income earned, market fluctuations in investment values (unrealized gains and losses) and net realized gains or losses from sales of investments. Attach explanation.
- Line 5:** Subtotal. This is the subtotal of the amounts in lines 1 through 4.
- Line 6:** Subtract escrow fees. This is the amount charged and labeled as account management or administrative fees charged by the escrow agent.
- Line 7:** Subtract depository fees, if any. This is the amount of expenses charged by the depository for maintenance of the account.
- Line 8:** Subtract withdrawals from the escrow account. All withdrawals must have been approved by the Secretary of State. Attach a copy of approval letter(s).
- Line 9:** Total funds on deposit for trust account at the end of the year. This amount is calculated as the sum of lines 5 through 8. Attach copy of year-end depository statement.
Fill in the amount (market value) of funds on deposit at the beginning (January 1) of the current reporting year. This amount should match what was reported as the amount (market value) of funds on deposit at the **end** (December 31) of the **previous** reporting year.

Annual Preneed Escrow Liability

- Line 10:** Cumulative amount due to the escrow account at the beginning of the year. This amount is the total of all deposits required to be made to the escrow account from its inception less any approved withdrawals for delivered merchandise and/or services pursuant to O.C.G.A. § 10-14-7.

- Line 11:** Add amount of deposits due to the account for the reporting year's activities for sales and services. Attach copy of sales journal or other detailed schedule.
- Line 12:** Subtract the amount eligible for withdrawal from escrow account for yearly activities. List only actual amount due to the escrow account.
Attach form C-10 Cancellations and Deliveries.
- Line 13:** Cumulative amount due to the escrow account at end of year. This amount is the total of the amounts in lines 10, 11 and 12 and represents the total liability the preneed dealer has to honor its preneed escrow obligation as calculated according to O.C.G.A. §10-14-7.

PART III - CERTIFICATION

The person signing the C12 form certifies, under penalties of perjury, that he/she is authorized to sign the document and certifies that all required deposits have been made and the information presented in the form and any attached documentation are correct.